

Section 5

ATTORNEY'S DOCKET NO: C1039/7052 (AWS)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Weiner and Hartmann
Serial No: 09/888,326
Filed: June 22, 2001
For: METHODS FOR ENHANCING ANTIBODY-INDUCED CELL LYSIS
AND TREATING CANCER
Examiner: unknown
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 28th day of September, 2001.

Alan W. Steele, Reg. No.: 45,128

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Preliminary Amendment
- ☒ Fee Calculation Sheet
- ☒ Declarations for Patent Application (2)
- ☒ Copy of Notice to File Missing Parts
- ☒ Check in the amount of \$1286.00
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If the enclosed check is determined to be insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
George Weiner and Gunther Hartmann, Applicant(s)

By:

Alan W. Steele, Reg. No. 45,128
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. C1039/7052 (AWS)
Dated: September 28, 2001
X: October 2, 2001



Attorney Docket No. C1039/7052 (AWS)

Inventor(s): Weiner and Hartmann

Serial No: 09/888,326

Filed: June 22, 2001

For: METHODS FOR ENHANCING ANTIBODY-INDUCED CELL LYSIS
AND TREATING CANCER

CHECK BOX, if applicable:

☐ **DUPLICATE**

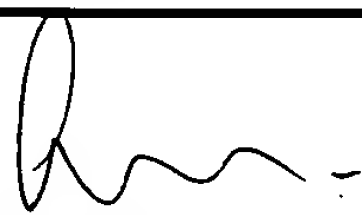
Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	27-20=	7x	\$ 18	= \$ 126.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7-3=	4 x	\$ 80	= \$ 320.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,156.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 1,286.00

1. A check in the amount of \$1,286.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

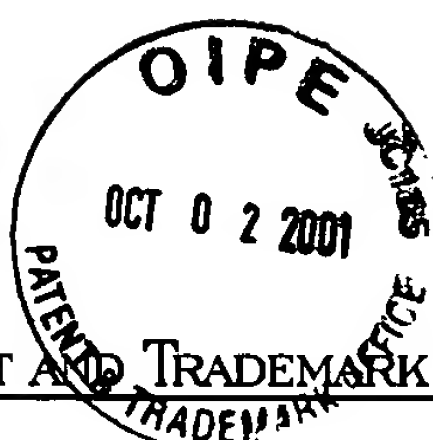
2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.


Alan W. Steele, Reg. No. 45,128
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. C1039/7052 (AWS)
Date: September 28, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,326	06/22/2001	George Weiner	C1039/7052

CONFIRMATION NO. 7237

FORMALITIES LETTER



OC00000006373199

Alan W. Steele
Wolf, Greenfield & Sacks, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/05/2001 BABRAHA1 00000083 232825 09888326

01 FC:101	740.00 OP	FILED UNDER 37 CFR 1.53(b)
02 FC:105	130.00 OP	
03 FC:103	126.00 OP	
04 FC:102	290.00 OP	
	46.00 CH	Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1346.
 - \$1026 for 57 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2186.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE